D6-28-04

PTO/SR/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031

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TRANSMIT	ΓAL
FORM	

(to be used for all correspondence after initial filing)

Application Number	10/692,073	
Filing Date	October 23, 2003	
First Named Inventor	Kenichi Takagi	
Art Unit	1772	
Examiner Name	N/A	
Attorney Docket Number	9319S-000554	

Total Number of Pages	s in This Submission	Attorney Docket Number	9319S-000554
		ENCLOSURES (check all that apply)	
Fee Transmittal F	orm	Drawing(s)	After Allowance Communication to Group
Fee Attached		Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences
Amendment / Rep	oly	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final		Petition to Convert to a Provisional Application	Proprietary Information
Affidavits/dec	laration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter
Extension of Time	e Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):
Express Abandor	nment Request	Request for Refund  CD, Number of CD(s)	Form HDP-1449 with copies of cited references and acknowledgement postcard.
Information Disclo	osure Statement		
Certified Copy of Priority Document(s)		fees that may be requi	hereby authorized to charge any additional ired under 37 CFR 1.16 or 1.17 to Deposit A duplicate copy of this sheet is enclosed.
Response to Miss Incomplete Applic			٠
Response to Parts under 3 1.52 or 1.53			
	SIGNA	TURE OF APPLICANT, ATTORNEY, O	R AGENT
Firm or Individual name	Hamess, Dickey & Pie	Attorney Name	Reg. No. 27,382
Signature	Signature D. Muyen Clunking		
Date	June 25, 2004		
	/ c	ERTIFICATE OF TRANSMISSION/MAI	LING
	nt postage as first o	s being facsimile transmitted to the USPTO class mail in an envelope addressed to: Coop below.	

Typed or printed name	G. Gregory Schivley	111	Express Mail Label No.	EV 533 148 921 US (6/25/2004)
Signature	1. Thespay	Viewle	Date	June 25, 2004

This collection of information is required by 37 CFR 1/5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to five USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or/suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce/P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria/VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/692.073

Filing Date:

October 23, 2003

Applicant:

Kenichi Takagi et al

Group Art Unit:

1772

Examiner:

Unknown

Title:

DEVICE, MANUFACTURING METHOD THEREOF,

AND ELECTRONIC INSTRUMENT WITH THE DEVICE

Attorney Docket:

9319S-000554

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

### I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. <u>COPIES</u>

A. X Submitted herewith is a legible copy of (i) each U.S. patent application
publication and U.S. and foreign patent; (ii) each publication or that portion which
caused it to be listed; (iii) for each cross-referenced pending U.S. application
listed below in Section IV, the application specification including the claims, and
any drawing of the application which caused it to be listed including the claims
directed to that portion; and (iv) all other information or that portion which caused
it to be listed.

B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

### U.S. Serial Number

### U.S. Filing Date

C Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.
D This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. If the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))
CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)
A. X Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).
B. X A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):
<ul> <li>1. X See the attached foreign patent office communication from a counterpart foreign application. Japan</li> <li>2. X English translations are provided. As indicated on Form 1449</li> <li>3. Other:</li> </ul>
CThe following additional information is provided for the Examiner's consideration.
CROSS REFERENCE TO RELATED APPLICATION(S)

## IV.

A.\_\_\_\_The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.

Serial No.

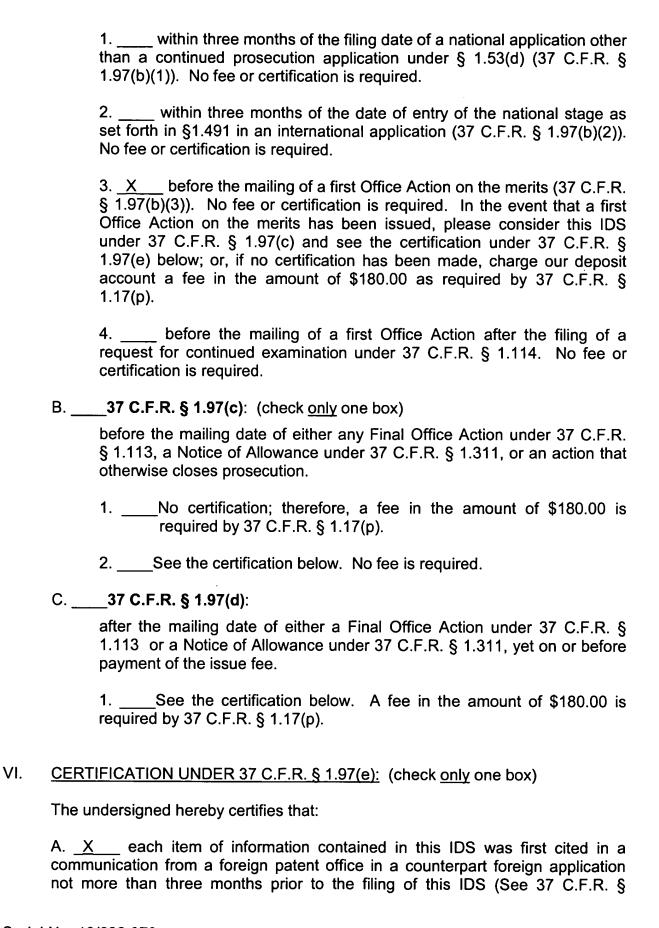
Filing Date

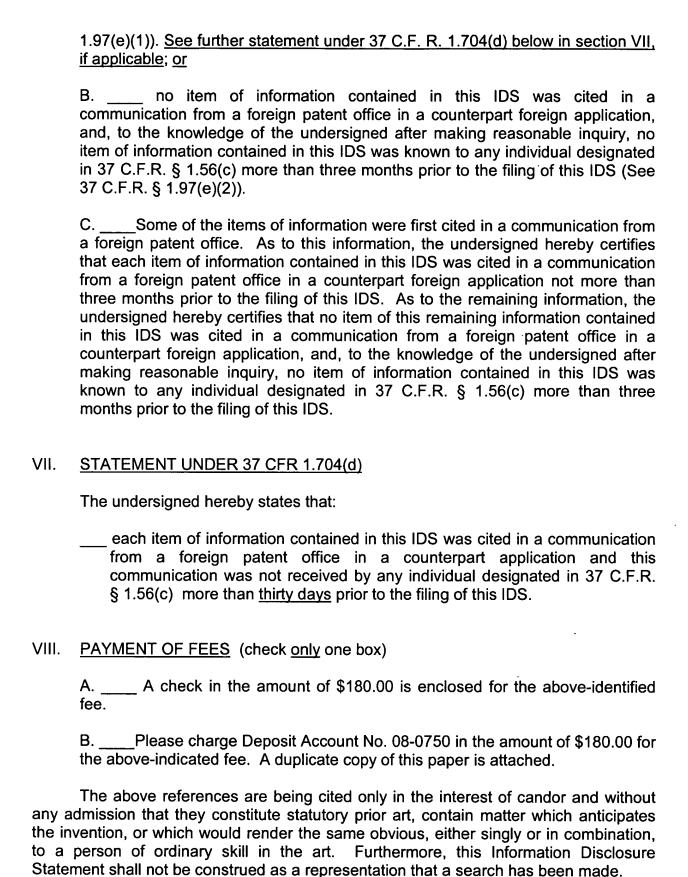
**Art Unit** 

#### ٧. THIS IDS IS BEING FILED UNDER

A. X 37 C.F.R. § 1.97(b): (check only one box)

III.





Serial No. 10/692,073

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

By:

Respectfully submitted,

Dated:

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828
Bloomfield Hills, Michigan 48303 (248) 641-1600

GGS/slm



TRAIREDRM HDP-1449 (Based on Form PTO-1449)

# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 2

ATTORNEY DOCKET NO.	SERIAL NO.
9319S-000554	10/692,073
APPLICANT	
Kenichi Takagi	
FILING DATE	GROUP
October 23, 2003	1772

U.S. P	U.S. PATENT DOCUMENTS					
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.						

FORE	FOREIGN PATENT DOCUMENTS						
Ref. Desig.			Translation * Yes No				
1.		5-4135	1/22/1993	Japan			X
2.		06-289396	10/18/1994	Japan		х	-
3.		04-090511	3/24/1992	Japan		Abstract	
4.		63-247726	10/14/1988	Japan		Abstract	
5.		63-221321	9/14/1988	Japan		Abstract	
6.		08-188658	7/23/1996	Japan		x	
7.		06-336530	12/6/1994	Japan		x	
8.		06-018889	1/28/1994	Japan		х	
9.		06-018898	1/28/1994	Japan		х	

Examiner:	Date Considered:

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C& TRANSPORM HDP-1449 (Based on Form PTO-1449)

# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 2 of 1

ATTORNEY DOCKET NO.	SERIAL NO.	
9319S-000554 10/692,073		
APPLICANT		
Kenichi Takagi		
FILING DATE	GROUP	
October 23, 2003	1772	

FOREIGN PATENT DOCUMENTS										
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translati Yes	ion *			
10.	:	06-281939	10/7/1994	Japan		x				
11.		10-123500	5/15/1998	Japan		Х				

OTHE	R DOCUME	NTS (including Author, Title, Date, Pertinent Pages, etc.)
Ref. Desig.	Examiner's Initials	
1.		Communication from Japanese Patent Office re: counterpart application.

<sup>\*</sup> One or more of the English translation documents submitted herewith may be Abstracts only or partial machine created translations from the Japanese Patent Office. As such, the submitter does not necessarily vouch for their accuracy. Additional information may be obtained from the Japanese Patent Office web site at <a href="https://www.ipo.go.ip">www.ipo.go.ip</a>.

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Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.